

12/18/01



J1057 U.S. PTO

12-21-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**Attorney Docket No. **CBL-104/DIV**

Total Pages

First Named Inventor  
**GLENN S. SOLOMON ET AL.**Title  
**DUAL PROCESS SEMICONDUCTOR  
HETEROSTRUCTURES & METHODS**Jc672 U.S. PTO  
10/023910

12/18/01

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form
2. ☐ Applicant Claims Small Entity Status
3. ☒ Specification Total Pages: **[ 21 ]**
4. ☒ Drawing(s) Total Sheets: **[ 5 ]**
5. ☒ Oath or Declaration Total Pages: **[ 1 ]**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application 1.63(d)  
(complete Box 17 and note Box 5 below)
- i. ☐ Signed statement deleting inventors named  
in the prior application, see CFR  
1.63(d)(2) and 1.33(b)
6. ☒ Incorporation by Reference (if 5b is checked) The  
entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box  
5b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
therein by reference.
7. ☐ Microfiche Computer Program (*Appendix*)
8. ☐ Nucleotide/Amino Acid Sequence Submission  
(all the following are necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment cover sheet and document(s)
10. ☐ Power of Attorney by Assignee  
[ ] with CFR 3.73(b) statement
11. ☐ English Translation Document
12. ☒ IDS/PTO-1449  
[ ] with copies of cited references
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Small Entity Statement  
[ ] Statement filed in prior application  
Status still proper and desired
16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17. ☐ Request and Certification under 35 USC  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
18. ☒ Other: Copies of Assignment documents and Powers  
of Attorney by Assignees from parent application 09/293,620.

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ continuation ☒ divisional ☐ CIP ...of prior application No.: **[ 09/293,620 ]****20. CORRESPONDENCE ADDRESS**

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## FEE TRANSMITTAL

Application Number:	Not yet assigned
Filing Date:	Not yet assigned
First Named Inventor:	Glenn S. Simon et al.
Title of Invention:	DUAL PROCESS SEMICONDUCTOR HETEROSTRUCTURES & METHODS
Group Art Unit:	Not assigned
Examiner:	Not assigned
Agent's Docket No.:	CBL-104/DIV

## Fee Calculation:

for ☒ Large Entity / ☐ Small Entity.

## Basic Billing Fee:

<input checked="" type="checkbox"/> Utility Patent Application:	\$740 / \$370	\$ 740
<input type="checkbox"/> Provisional Patent Application:	\$150 / \$75	\$

## Claims:

<input type="checkbox"/> Number of Total Claims Over 20: <input type="checkbox"/>	x \$18 / \$9 =	\$
<input type="checkbox"/> No. of Independent Claims Over 3: <input type="checkbox"/>	x \$80 / \$40 =	\$

## Other Fees:

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$400 / \$200	\$
<input type="checkbox"/> Extension of time, 3 months	\$920 / \$460	\$
<input type="checkbox"/> Extension of time, 4 months	\$1440 / \$720	\$
<input type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input type="checkbox"/> Recordation of Assignment Document	\$40	\$
<input type="checkbox"/> Issue Fee	\$1280 / \$640	\$
<input type="checkbox"/> Printed Patent; Number of Copies: <input type="checkbox"/>	x \$3 =	\$

TOTAL PAYMENT: \$740

## Method of Payment:

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NAME OF PERSON SIGNING

## Signature of Agent

Joshua D. Isenberg  
 Joshua D. Isenberg, Reg. No. 41,088

12/18/2001  
 Date

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